

CTPAT Security Profile Requirements

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Listed by type of business

Your CTPAT security profile is required to address specific information about your supply chain, which varies depending on the business entity applying for CTPAT:

- [Importers](#)
- [Consolidators](#)
- [Customs Brokers](#)
- [Highway Carriers](#)
- [Long Haul Carriers \(Mexico\)](#)
- [Foreign Manufacturers \(Canada & Mexico\)](#)
- [Third Party Logistics Providers](#)
- [Air Carriers](#)
- [Rail Carriers](#)
- [Sea Carriers](#)
- [U.S. and Foreign-based Marine Port Authority and Terminal Operators](#)

Need help preparing your CTPAT supply chain security profile? We can write it for you. [Click here to learn more about MGTA's CTPAT certification package](#), which includes a written security profile, supply chain risk assessment, on-site training, and more.

Importers

Importers must address the following in their security profile:

- business partner requirements
- security procedure at point of origin
- participation in foreign customs administrative supply chain security programs
- internal criteria for the selection of business partners
- general container security
- container inspection
- container security and container seal controls
- container storage
- access control for employees
- access control for visitors
- building access controls and security

- delivery controls
- challenging and removing unauthorized individuals
- pre-employment verification
- background checks/investigations for personnel
- personnel termination procedures
- documentation processing
- manifesting procedures
- shipping and receiving procedures
- cargo discrepancies
- security training and threat awareness
- fencing
- gates and gate houses
- parking
- building structure and security
- locking devices and key controls
- lighting
- alarms and video surveillance cameras
- IT controls – password protection
- IT security – accountability

Consolidators (air freight consolidators, ocean transport intermediaries, and non-vessel operating common carriers (NVOCC))

Consolidators (air freight consolidators, ocean transport intermediaries, and non-vessel operating common carriers (NVOCC)) must address the following in their CTPAT security profile:

- business partner requirements
- security procedure at point of origin
- participation in foreign customs administrative supply chain security programs
- service provider screening and selection procedures
- customer screening procedures
- container inspection
- container seals
- container storage
- employee access controls
- visitor controls
- building access controls
- delivery controls
- challenging and removing unauthorized individuals
- pre-employment verification
- personnel recordkeeping
- background checks/investigations for personnel
- personnel termination procedures
- documentation processing
- manifesting procedures
- shipping and receiving procedures
- cargo discrepancies

- security training and threat awareness
- fencing
- gates and gate houses
- parking
- building structure and security
- locking devices and key controls
- lighting
- alarms and video surveillance cameras
- IT controls – password protection
- IT security – accountability

Customs Brokers

Customs brokers must address the following in their CTPAT security profile:

- business partner requirements
- container and trailer seals
- employee access controls
- visitor controls
- building access controls
- challenging and removing unauthorized individuals
- delivery access controls
- physical access controls for personnel
- pre-employment verification
- background checks/investigations for personnel
- personnel termination procedures
- general security procedures
- general documentation processes
- advance submission of data processes
- cargo discrepancies
- shipping and receiving documentation
- fencing
- gates and gate houses
- parking
- building structure
- lighting
- alarm systems and video surveillance cameras
- locking devices and key controls
- IT security general
- IT security password protection
- IT security system and data protection
- security training and threat awareness

Highway Carriers

Highway carriers must address the following in their CTPAT security profile:

- business partner requirements
- tractor and trailer integrity
- conveyance inspection procedures
- trailer security
- tracking and monitoring procedures
- trailer seals
- Less than Truck Load (LTL) padlocks
- Less than Truck Load (LTL) ISO 17 712 seals
- employee access controls
- visitor/vendor/service provider access controls
- challenging and removing unauthorized individuals
- pre-employment verification
- background checks/investigations for personnel
- personnel termination procedures
- documentation processing
- document review
- bill of lading/manifesting procedures
- supply chain security procedures
- cargo discrepancies
- procedural security for cargo
- fencing
- gates and gate houses
- parking
- building structure and security
- locking devices and key controls
- lighting
- alarms and video surveillance cameras
- security training and threat awareness
- IT controls – password protection
- IT security – accountability
- IT security – FAST transponder controls

Long Haul Carriers (Mexico)

Long haul carriers (Mexico) must address the following in their CTPAT security profile:

- business partner requirements
- tractor and container/trailer inspection
- trailer security
- container security
- conveyance tracking and monitoring procedures
- trailer seals
- Less Than Truck Load (LTL) padlocks and PAS ISO 17 712 seals
- employee access controls
- visitor, vendor, service provider controls
- challenging and removing unauthorized persons
- physical access controls
- updated personnel security controls

- pre-employment verification
- pre-employment screening
- background checks/investigations for personnel
- personnel termination procedures
- trailer stuffing
- trailer hand-offs
- internal conspiracy measures
- documentation processing
- document review
- bill of lading/manifesting procedures
- cargo security
- fencing
- gates and gate houses
- parking
- building structure
- locking devices and key controls
- lighting
- alarm systems and video surveillance cameras
- security training and threat awareness
- IT security – password protection
- IT security – accountability

Foreign Manufacturers (Canada and Mexico)

Foreign manufacturers (Canada and Mexico) must address the following in their CTPAT security profile:

- business partner requirements
- security procedure at point of origin
- participation in foreign customs administrative supply chain security programs
- container and trailer inspection
- container and trailer seals
- container and trailer storage
- employee access controls
- visitor access controls
- delivery controls
- challenging and removing unauthorized persons
- pre-employment verification
- background checks/investigations for personnel
- personnel termination procedures
- documentation processing
- manifesting procedures
- shipping and receiving
- cargo discrepancies
- fencing
- gates and gate houses
- parking
- building structure and security
- locking devices and key controls

- lighting
- alarms and video surveillance cameras
- IT controls – password protection
- IT security – accountability
- security and threat awareness training

Third Party Logistics Providers

Third party logistics providers must address the following in their CTPAT security profile:

- business partner requirements
- security procedure at point of origin
- participation in foreign customs administrative supply chain security programs
- service provider screening and selection procedures
- customer screening procedures
- container security
- container inspection
- container seals
- container storage
- conveyance security
- conveyance inspection procedures
- trailer security
- container security
- conveyance tracking and monitoring procedures
- trailer seals
- Less Than Truck Load (LTL)
- employee access controls
- visitor access controls
- deliveries
- challenging and removing unauthorized persons
- pre-employment verification
- background checks/investigations for personnel
- personnel termination procedures
- documentation processing
- manifesting procedures
- shipping and receiving
- cargo discrepancies
- security training and threat awareness
- fencing
- gates and gate houses
- parking
- building structure
- locking devices and key controls
- lighting
- alarm systems and video surveillance cameras
- IT controls – password protection
- IT security – accountability

Air Carriers

Air carriers must address the following in their CTPAT security profile:

- business partner requirements – general
- business partner requirements – security procedures
- business partner requirements – CTPAT business partners
- business partner requirements – contract aircraft service providers
- participation in foreign customs administrative supply chain security programs
- container or unit load device (ULD) security
- container or unit load device inspections
- container or unit load device seals
- container or unit load device storage
- access controls – employees
- access controls – boarding and disembarking of aircraft
- access controls – visitors, vendors and service providers
- access controls – cargo delivery areas
- personnel screening
- challenging and removing unauthorized persons
- pre-employment verification
- background checks/investigations for personnel
- personnel termination procedures
- manifesting procedures
- procedural security for passenger and crew
- procedural security for bill of lading/manifesting procedures
- procedural security for cargo
- procedural security for aircraft
- security training and threat awareness
- fencing
- gates and gate houses
- parking
- building structure
- locking devices and key controls
- lighting
- alarms and video surveillance cameras
- IT – password protection
- IT – accountability

Rail Carriers

Rail carriers must address the following in their CTPAT security profile:

- business partner requirements
- security procedure at point of origin
- service provider screening and selection procedures
- customer screening
- container inspection

- container seals
- container storage
- employee access controls
- visitor and vendor access controls
- delivery controls
- challenging and removing unauthorized persons
- pre-employment verification
- background checks/investigations for personnel
- personnel termination procedures
- manifesting procedures
- shipping and receiving
- cargo discrepancies
- security training and threat awareness
- fencing
- gates and gate houses
- parking
- building structure
- locking devices and key controls
- lighting
- alarms and video surveillance cameras
- IT security – password protection
- IT security – accountability

Sea Carriers

Sea carriers must address the following in their CTPAT security profile:

- business partner requirements
- container integrity procedures
- container inspection procedures
- container seal procedures
- container storage
- boarding and disembarking of vessels
- employee access controls
- visitor, vendor, and service provider access controls
- challenging and removing unauthorized persons
- pre-employment screening
- background checks/investigations for personnel
- personnel termination procedures
- crewman control – deserter/absconder risk
- deserter/absconder notifications
- procedural security – general
- procedural security – passenger and crew
- bill of lading/manifesting procedures
- BAPLIE and/or stowage plan procedures
- suspicious activity reporting procedures
- security and threat awareness training
- fencing

- gates and gate houses
- parking
- building structure
- locking devices and key controls
- lighting
- alarms and video surveillance cameras
- IT security – password protection
- IT security – accountability

U.S. and Foreign-based Marine Port Authority and Terminal Operators

U.S. and foreign-based marine port authority and terminal operators must address the following in their CTPAT security profile:

- business partner requirements
- container seals
- container storage
- employee access controls
- security personnel
- search procedures
- visitor, vendor, and service provider access controls
- challenging and removing unauthorized persons
- pre-employment verification
- background checks/investigations for personnel
- personnel termination procedures
- cargo controls
- shipping and receiving procedures
- container opening procedures
- container/cargo release procedures
- vessel automated manifest system procedures
- security training and threat awareness
- fencing
- gates and gate houses
- parking
- building structure
- locking devices and key controls
- lighting
- alarms and video surveillance cameras
- IT security – password protection
- IT security – accountability
- security assessment, responsive improvement

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